

Role profile

Role title	Transaction Reporting Business/Systems Analyst	
Reporting to	Michael Dower	
Team	Transaction Reporting	
Division	Capital Markets	
Key relationships	Transaction Reporting Operations Team, Compliance	
Regulatory status	Certified person (CP): <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If CP, please confirm: (i) The type of certified function(s) performed For guidance see <i>Role descriptions – help with defining Certified Persons</i>	Please tick as many as applicable: Material Risk Taker (MRT) Client-dealing function Requires FCA qualification Supervisor/manager of a CP	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Qualifications		
(ii) Mandatory professional qualifications and exams (required for the role)	(ii) Mandatory professional qualifications and exams required under the FCA/PRA SMCR rules or prescribed by Investec (please state N/A if no qualifications/exams are required for this role): N/A	
Team description	Transaction Reporting Analytics	
Description of role and key responsibilities	<p>Transaction Reporting Business/Systems Analyst working across regulatory regimes: MIFID, EMIR, SFTR, CFTC</p> <p>Senior cross systems analysis undertakes the analytical ownership of:</p> <ul style="list-style-type: none"> • EMIR, MIFID, CFTC, & CANADA Transaction Reporting • SFTR – Security Financing Transaction Reporting • Bloomberg APA Trade Reporting • Short Sell Monitoring <p>Investec’s transaction reporting analysis covers all Treasury and Derivative products (FX, IRD, MM, FI & Commodity) derivative instruments plus cash Equity and Bond instruments including stock lending and repo transactions.</p>	

	<p>The role of Senior Transaction Reporting Business Analyst will report into the Head of Transaction Reporting in support of our Corporate Banking, Investment Banking and Trading divisions. The role is expected to act with autonomy in running transaction reporting analysis and guiding/steering the delivery across the contributing systems.</p> <p>In order to deliver successfully, there is a need to interact with multiple stakeholders across the bank. Building successful relationships with various areas being critical to correctly gathering business requirements, assist in testing and deliver short term initiatives and major projects.</p> <p>Role requires a practical knowledge of all asset classes and their derivative instrument types (Swap, Option, Future/Forward) along with their underlying cash instruments, structured products, and exotic derivative variants.</p> <p><u>Engagement</u></p> <ul style="list-style-type: none"> • Lead, motivate & get the best out of the transaction reporting developers. • Promote the cross system methodology (data dictionary + methods) • Provide clear direction and prioritisation for each cross system team so they can focus on delivery whilst you triage the major requests. • Manage conflict in order to keep the focus on the common goal of the initiatives we are trying to achieve. • Guarantee all documentation and specifications are up -to-date. <p><u>New Business Initiatives</u></p> <ul style="list-style-type: none"> • At a high level determine business requirements and identify/comprehend all relevant issues. Once agreed as a piece of work pass on to the transaction reporting participants to detailed requirements phase. • Analyse IT Systems & Business Process solutions to facilitate new initiatives. • Generate ideas/proposals/solutions for further business improvement and opportunity. • Have clear, articulated vision in order to help steer the initiatives <p><u>Business Support</u> Provide ongoing and ad hoc transaction reporting systems & business process support and guidance to all cross system stakeholders.</p> <p><u>Stakeholder Relationships</u></p> <ul style="list-style-type: none"> • Develop, manage and maintain strong relationships with all stakeholders. Understand our business landscape and strategy and work effectively with those stakeholders to produce best business outcomes. <p><u>Environment</u></p> <ul style="list-style-type: none"> • To facilitate the most appropriate working environment that will enable the transaction reporting system participants to work effectively / efficiently. • Promote, explain & evangelise the transaction reporting space
<p>Core skills and knowledge</p>	<p>Knowledge of Derivatives and Security Financing Markets. Ability to systemise solutions by undertaking rigorous analysis and defining the requisite logic to incorporate change driven by the regulatory regime changes, internal/external quality assurance reviews and regular regulatory reporting regime change.</p> <ul style="list-style-type: none"> • Analytical, methodical ability to arrive at solutions that fit current or future business processes. • Effective writing and verbal communication to deliver required documentation and understanding of business requirements. • Very strong business / market / product knowledge – solution and opportunity delivery. • Organisational skills: Ability to manage and co-ordinate resources for solution delivery. • Investec specific knowledge: policies and procedures. • Developing business relationships for effective solution and opportunity delivery

<p>Any other attributes that would be helpful, but not essential for the role.</p>	<p>The candidate should ideally come from an IT design and build background and possess strong capital markets business knowledge primarily in derivative markets with business knowledge in securities financing nice to have.</p> <ul style="list-style-type: none">• Ownership• Self-Motivated• Delivery focused• Assertive• Attention to Detail• Team Oriented• Persistent• Resilient• Deal with Pressure• Multi Task• Juggle Priorities
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This role description accurately reflects the key responsibilities and associated skills, knowledge and attributes required for to perform this role at this time. It is the responsibility of the employee and manager, to update this profile, (and store against the employee's record), as responsibilities, required skills and knowledge changes.